

22783 U.S. PTO
021004

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	29766-74072
	First Inventor	Scott R. Baize et al.
	Title	SYSTEM FOR LIMITING TURBOCHARGER ...
	Express Mail Label	EV 404971885 US

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>	ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P. O. Box 1450 Alexandria VA 22313-1450	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original, and a duplicate for fee processing)</small>	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <small>(Appendix)</small>	
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small>	
3. <input checked="" type="checkbox"/> Specification <small>[Total Pages 30]</small> <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none">- Descriptive title of the invention- Cross Reference to Related Applications- Statement Regarding Fed sponsored R & D- Reference to sequence listing, a table, or a computer program listing appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings <small>(if filed)</small>- Detailed Description- Claim(s)- Abstract of the Disclosure	a. <input type="checkbox"/> Computer Readable Form (CRF)	
4. <input checked="" type="checkbox"/> Drawing(s) <small>(35 U.S.C. 113)</small> <small>[Total Sheets 4]</small>	b. Specification Sequence Listing on: <ul style="list-style-type: none">i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); orii. <input type="checkbox"/> Paper	
5. Oath or Declaration <small>[Total Pages 3]</small> <ul style="list-style-type: none">a. <input checked="" type="checkbox"/> Newly executed (original or copy)b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 18 completed)</small><ul style="list-style-type: none">i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	c. <input type="checkbox"/> Statements verifying identity of above copies	
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		
ACCOMPANYING APPLICATION PARTS		
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: _____ <small>Prior application information: Examiner _____ Group / Art Unit: _____</small>	9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small>	
	11. <input type="checkbox"/> English Translation Document <small>(if applicable)</small>	
	12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
	13. <input type="checkbox"/> Preliminary Amendment	
	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small>	
	15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small>	
	16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 <small>(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</small>	
17. <input checked="" type="checkbox"/> Other: Certificate Under 37 CFR 1.10		
19. CORRESPONDENCE ADDRESS		
<input type="checkbox"/> Customer Number: 23643 or <input type="checkbox"/> Correspondence address below		
Name		
Address		
City	State	Zip Code
Country	Telephone	Fax

Name (Print/Type)	Jeffrey A. Michael	Registration No. (Attorney/Agent)	37,394
Signature	<i>Jeffrey A. Michael</i>	Date	FEBRUARY 10, 2004

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

22783 U.S. PTO
021004

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) \$806.00

Complete if Known

Application Number	Unknown
Filing Date	Herewith (February 10, 2004)
First Named Inventor	Scott R. Baize et al.
Examiner Name	Unknown
Art Unit	Unknown
Attorney Docket No.	29766-74072

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 10-0435

Deposit Account Name Barnes & Thornburg

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	2001	770	385	Utility filing fee	770.00
1002	2002	340	170	Design filing fee	
1003	2003	530	265	Plant filing fee	
1004	2004	770	385	Reissue filing fee	
1005	2005	160	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

2. EXTRA CLAIM FEES FOR UTILITY AND

Total Claims	Extra Claims	Fee from below	Fee Paid
22	-20** = 2	18.00	36.00
Independent Claims	2 - 3** = 0	86.00	0.00
Multiple Dependent		0.00	0.00

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description
1202	2202	18	9	Claims in excess of 20
1201	2201	86	43	Independent claims in excess of 3
1203	2203	290	145	Multiple dependent claim, if not paid
1204	2204	86	43	** Reissue independent claims over original patent
1205	2205	18	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) \$36.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	2051	130	65	Surcharge - late filing fee or oath	0.00
1052	2052	50	25	Surcharge - late provisional filing fee or cover sheet	0.00
1053	1053	130	130	Non - English specification	0.00
1812	1812	2,520	2,520	For filing a request for ex parte reexamination	0.00
1804	1804	920*	920*	Requesting publication of SIR prior to Examiner action	0.00
1805	1805	1,840*	1,840*	Requesting publication of SIR after Examiner action	0.00
1251	2251	110	55	Extension for reply within first month	0.00
1252	2252	420	210	Extension for reply within second month	0.00
1253	2253	950	475	Extension for reply within third month	0.00
1254	2254	1,480	740	Extension for reply within fourth month	0.00
1255	2255	2,010	1,005	Extension for reply within fifth month	0.00
1401	2401	330	165	Notice of Appeal	0.00
1402	2402	330	165	Filing a brief in support of an appeal	0.00
1403	2403	290	145	Request for oral hearing	0.00
1451	1451	1,510	1,510	Petition to institute a public use proceeding	0.00
1452	2452	110	55	Petition to revive - unavoidable	0.00
1453	2453	1,330	665	Petition to revive - unintentional	0.00
1501	2501	1,330	665	Utility issue fee (or reissue)	0.00
1502	2502	480	240	Design issue fee	0.00
1503	2503	640	320	Plant issue fee	0.00
1460	1460	130	130	Petitions to the Commissioner	0.00
1807	1807	50	50	Processing fee under 37 CFR § 1.17(q)	0.00
1806	1806	180	180	Submission of Information Disclosure Statement	0.00
8021	8021	40	40	Recording each patent assignment per property (times number of properties)	0.00
1809	2809	770	385	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00
1810	2810	770	385	For each additional invention to be examined (37 CFR § 1.129(b))	0.00
1801	2801	770	385	Request for Continued Examination (RCE)	0.00
1802	1802	900	900	Request for expedited examination of a design application	0.00
Other fee (specify) 0					0.00

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) \$0.00

SUBMITTED BY

Name (Print/Type)	Jeffrey A. Michael	Registration No. (Attorney/Agent)	37,394	Telephone	317-231-7382
Signature	<i>Jeffrey A. Michael</i>	Date	February 10, 2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

BARNES & THORNBURG

11 South Meridian Street
Indianapolis, Indiana 46204
(317) 236-1313

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group: Unknown

Attorney

Docket: 29766-74072

Applicant: Scott R. Baize et al.

Invention: SYSTEM FOR LIMITING
TURBOCHARGER
ROTATIONAL SPEED

Serial No: Unknown

Filed: Herewith

Examiner: Unknown

}
}
}
}
}
}
}
}
}
}
}
}
}
}
}
}
}

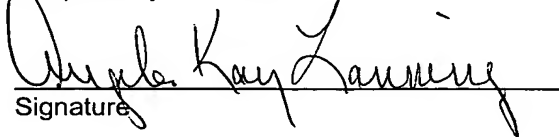
CERTIFICATE UNDER 37 C.F.R. § 1.10

Mail Stop: Patent Application
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, in an envelope addressed to the Mail Stop Patent Application, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on February 10, 2004. The Express Mail mailing label number is EV 404971885 US.

Respectfully submitted,


Signature

Angela Kay Lanning

Typed or Printed Name

Indianapolis, Indiana
(317) 231-7382